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DOCKET NO. 1186.1019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Susumu TAKAHASHI, et al.

Serial No: 09/942,731

Group Art Unit: Unassigned

Confirmation No. 8415

Filed: August 31, 2001

Examiner: Unassigned

For: OPTICAL FILM USING DIFFRACTION GRATING AND DISPLAY DEVICE USING THE SAME

**LETTER TO THE EXAMINER SUBMITTING
CORRECTED AND/OR FORMAL DRAWINGS**

Assistant Commissioner for Patents
Washington, D.C. 20231

ATTENTION: OFFICIAL DRAFTSPERSON

Sir:

Applicants herewith submit 9 sheets of formal drawings for filing in the subject application.

It is respectfully requested that the formal drawings filed herewith be entered in the above-referenced application.

Respectfully submitted,

STAAS & HALSEY LLP

Date: 12/11/01

By: 

James D. Halsey, Jr.
Registration No. 22,729

700 Eleventh Street, N.W., Suite 500
Washington, D.C. 20001
Telephone: (202) 434-1500
Facsimile: (202) 434-1501

JDH



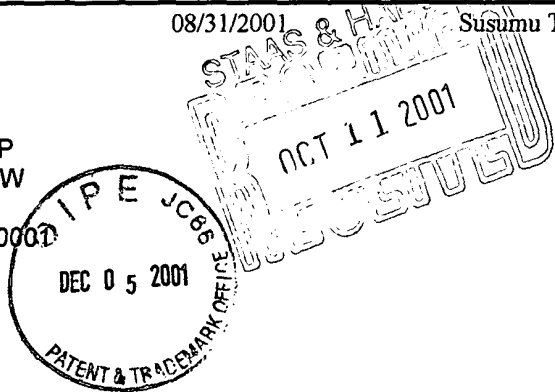
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

Drawing Due: 12/9/01
Missing Parts Due: 12/9/01

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/942,731	08/31/2001	Susumu Takahashi	1186.1019

21171
STAAS & HALSEY LLP
700 11TH STREET, NW
SUITE 500
WASHINGTON, DC 20007



CONFIRMATION NO. 8415

FORMALITIES LETTER



OC000000006869407

Date Mailed: 10/09/2001

12/19/2001 SSALEEKU 00000006 193935 09942731
NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01 FC:102 240.00 CH
02 FC:103 1350.00 CH
03 FC:104 270.00 CH
04 FC:139 130.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 130.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

12/03/2001 SPENBUB1 000000115 09942731

01 FC:105

130.00 CH

Adjustment date: 12/03/2001 FEKUBAY1

12/19/2001 SSALEEKU 00000006 193935

04 FC:139 130.00 CH

Adjustment date: 12/03/2001 FEKUBAY1

12/06/2001 SPENBUB1 000000115 09942731

01 FC:105

-130.00 CH

A copy of this notice MUST be returned with the reply.

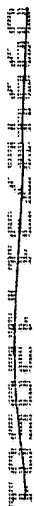
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A.T

Customer Service Center

Initial Patent Examination Division (703) 308-1202


PART 1 - ATTORNEY/APPLICANT COPY



me

SECGON

S&H Form: PTO/SB/17 (2/01)

RESPONSE TO NOTICE TO FILE MISSING PARTS 		Attorney Docket No.	1186.1019																						
		Application Number	09/942,731 /																						
		Filing Date	August 31, 2001																						
		First Named Inventor	Susumu TAKAHASHI, et al.																						
		Group Art Unit	Unassigned																						
Title:	OPTICAL FILM USING DIFFRACTION GRATING AND DISPLAY DEVICE USING THE SAME																								
<p>Pursuant to 37 C.F.R. § 1.53(f) and in response to the U.S. Patent and Trademark Office Notice to File Missing Parts of Nonprovisional Application mailed <u>October 9, 2001</u> for the above-identified application, enclosed are the following:</p> <ul style="list-style-type: none"> <input checked="" type="checkbox"/> RETURN COPY of Notice to File Missing Parts of Nonprovisional Application <input type="checkbox"/> Executed Combined Declaration/Power of Attorney executed by the inventor for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor executed by signing the attached Declaration. <input checked="" type="checkbox"/> Formal Drawings (9 Sheets; Figs 1-24) <input checked="" type="checkbox"/> English-language translation of application (with Translator's Statement (pages __)) <input type="checkbox"/> Verified Statement Claiming Small Entity Status <p>Enclosed is a payment of the following:</p> <table border="0"> <tr> <td><input type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a)</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> The additional claim(s) fee (claims over 20)</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> The additional independent claim(s) fee (claims over 3)</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Multiple dependent claims</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Petition for Extension of Time (__-month)</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> English language translation fee</td> <td>\$</td> </tr> <tr> <td><input checked="" type="checkbox"/> Surcharge as set forth in 37 C.F.R. § 1.16(e)</td> <td>\$130.00</td> </tr> <tr> <td>SUBTOTAL FEES:</td> <td>\$130.00</td> </tr> <tr> <td><input type="checkbox"/> Reduction by 50% for filing by small entity (37 CFR 1.27)</td> <td>.00</td> </tr> <tr> <td>SUBTOTAL FEES:</td> <td>\$130.00</td> </tr> <tr> <td>TOTAL FEES DUE:</td> <td>\$130.00</td> </tr> </table>				<input type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a)	\$	<input type="checkbox"/> The additional claim(s) fee (claims over 20)	\$	<input type="checkbox"/> The additional independent claim(s) fee (claims over 3)	\$	<input type="checkbox"/> Multiple dependent claims	\$	<input type="checkbox"/> Petition for Extension of Time (__-month)	\$	<input type="checkbox"/> English language translation fee	\$	<input checked="" type="checkbox"/> Surcharge as set forth in 37 C.F.R. § 1.16(e)	\$130.00	SUBTOTAL FEES:	\$130.00	<input type="checkbox"/> Reduction by 50% for filing by small entity (37 CFR 1.27)	.00	SUBTOTAL FEES:	\$130.00	TOTAL FEES DUE:	\$130.00
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TOTAL FEES DUE:	\$130.00																								

Since the Notice to File Missing Parts of Nonprovisional Application set an original due date of __, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$400)); (3 months (\$920)); (4 months (\$1,440)); (5 months (\$1,960)):

METHOD OF PAYMENT

- ☒ Check enclosed as payment.
☐ Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.

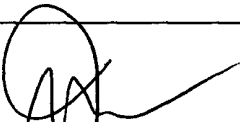
AUTHORIZATION

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:

Deposit Account No.: 19-3935

Deposit Account Name: STAAS & HALSEY LLP

SUBMITTED BY: STAAS & HALSEY LLP

Typed Name	James D. Halsey, Jr.	Reg. No.	22,729
Signature		Date	December 4, 2001



TRANSLATION

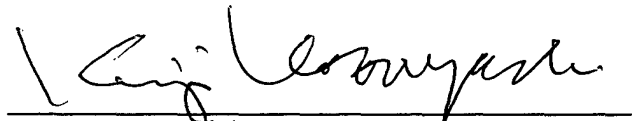
I, Kenji Kobayashi, residing at 2-46-10 Goko-Nishi, Matsudo-shi, Chiba-ken, Japan, state:

that I know well both the Japanese and English languages;

that I translated, from Japanese into English, the specification, claims, abstract and drawings as filed in U.S. Patent Application No. 09/942,731, filed August 31, 2001; and

that the attached English translation is a true and accurate translation to the best of my knowledge and belief.

Dated: November 29, 2001


Kenji Kobayashi

20011129